

Audit Review Checklist -- Section C

Resolution of Audit Issue

(Use a separate sheet for each audit issue affecting granting agency's programs.)

Name of Agency _____

Audit Period _____

Reference (identification number assigned by auditor or page number):

Type of issue:

- | | |
|--|--|
| <input type="checkbox"/> Material weakness | <input type="checkbox"/> Material non-compliance |
| <input type="checkbox"/> Reportable condition | <input type="checkbox"/> Non-material non-compliance |
| <input type="checkbox"/> Management letter comment | <input type="checkbox"/> Other |

Program(s) affected by the audit issue:

Description of the audit issue and the agency's response and/or corrective action plan:

Granting agency's resolution of audit issue:

1. Does the agency's response/corrective action plan adequately address the issue?
☐ Yes.
☐ No. If no, what else is needed?

☐ Repayment of disallowed costs (\$_____).

☐ Additional information from agency and/or auditor (describe):

2. What follow-up is needed to confirm implementation of the corrective action?

☐ Rely on subsequent audit.

☐ Request status report on corrective action in _____ months.

☐ Perform site visit.

☐ Other (describe):